



On the semigroup of order-preserving partial isometries of a finite chain with restricted range

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Abstract

Let I_n be the symmetric inverse semigroup on $X_n = \{1, 2, \dots, n\}$, and let ODP_n be the subsemigroup of I_n consisting of all order-preserving partial isometries of X_n . Let $Y = \{1, 2, \dots, r\}$ be a non-empty subset of X_n . Define

$$ODPI_{n,r} = \{\alpha \in ODP_n : \text{im } \alpha \subseteq Y\}.$$

In this paper, we give a necessary and sufficient condition for $ODPI_{n,r}$ to be an inverse semigroup and characterize its Green relations. Moreover, the cardinality and the rank of $ODPI_{n,r}$ are investigated.

Keywords Partial isometries · Order-preserving · Green's relations · Rank · Injective partial transformations

Mathematics Subject Classification 20M20

1 Introduction and preliminaries

For standard terms and concepts in semigroup theory (such as regularity, Green's relations and isomorphism), we refer the reader to Howie [8].

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The *partial transformation semigroup* on a set X , denoted $P(X)$, is the collection of all functions from a subset of X into X with composition. Let $T(X)$ be the set of all transformations from X into itself. Then $T(X)$ is a subsemigroup of $P(X)$ and it is called the *full transformation semigroup* on X . It is well-known that $P(X)$ and $T(X)$ are regular semigroups. Let $I(X) = \{\alpha \in P(X) : \alpha \text{ is injective}\}$. Then $I(X)$ is an inverse subsemigroup of $P(X)$, called the *symmetric inverse semigroup* on X .

In this paper, we will write transformations to the right of their argument and compose them from left to right: $x(\alpha\beta) = (x\alpha)\beta$. The domain and the range of α in $P(X)$ are denoted by $\text{dom } \alpha$ and $\text{im } \alpha$, respectively. We denote the empty transformation by θ . For a non-empty subset A of X , we let id_A be the identity map on A . Both θ and id_A are contained in $P(X)$.

The cardinality of a set A is denoted by $|A|$. The *rank* of a semigroup S , denoted by $\text{rank}(S)$, is the least size of a generating set of S . That is,

$$\text{rank}(S) = \min\{|A| : A \subseteq S \text{ and } \langle A \rangle = S\}$$

where $\langle A \rangle$ is the subsemigroup of S generated by A .

Let Y be a fixed non-empty subset of X . In 1975, Symons [20] introduced and studied the subsemigroup of $T(X)$ defined by

$$T(X, Y) = \{\alpha \in T(X) : \text{im } \alpha \subseteq Y\}.$$

We see that if $Y = X$, then $T(X, Y) = T(X)$. That is, $T(X)$ is a special case of $T(X, Y)$. In 2005, Nenthein et al. [11] characterized the regular elements of $T(X, Y)$. In 2008, Sanwong and Sommanee [15] described the largest regular subsemigroup of $T(X, Y)$. They also gave a class of maximal inverse subsemigroups of this semigroup and characterized Green's relations on $T(X, Y)$. In 2014, Fernandes and Sanwong [7] defined $PT(X, Y) = \{\alpha \in P(X) : \text{im } \alpha \subseteq Y\}$ and $I(X, Y) = \{\alpha \in I(X) : \text{im } \alpha \subseteq Y\}$. Then $PT(X, Y)$ and $I(X, Y)$ are subsemigroups of $P(X)$. They described the largest regular subsemigroup and determined Green's relations of $PT(X, Y)$ and $I(X, Y)$. In the case when $Y = \{1, 2, \dots, r\}$ is a non-empty subset of a finite set $X_n = \{1, 2, \dots, n\}$, we write $PT_{n,r}$, $T_{n,r}$ and $I_{n,r}$ for the semigroups $PT(X_n, Y)$, $T(X_n, Y)$ and $I(X_n, Y)$, respectively. The authors also computed the ranks of those semigroups.

There are other papers that study transformation semigroups with restricted range, see for example [5,9,12–14,16–19,21,22].

For a finite set $X_n = \{1, 2, \dots, n\}$, we write I_n for $I(X_n)$. A transformation $\alpha \in I_n$ is said to be *order-preserving* if $\forall x, y \in \text{dom } \alpha, x \leq y \Rightarrow x\alpha \leq y\alpha$. We call α an *isometry* (or *distance-preserving*) if $|x - y| = |x\alpha - y\alpha|$ for all $x, y \in \text{dom } \alpha$. Let

$$DP_n = \{\alpha \in I_n : \forall x, y \in \text{dom } \alpha, |x - y| = |x\alpha - y\alpha|\}$$

be the subsemigroup of I_n consisting of all partial isometries of X_n . Also, let

$$ODP_n = \{\alpha \in DP_n : \forall x, y \in \text{dom } \alpha, x \leq y \Rightarrow x\alpha \leq y\alpha\}$$

be the subsemigroup of DP_n consisting of all order-preserving partial isometries of X_n . Then DP_n and ODP_n are inverse subsemigroups of I_n . Notice that the semigroups

I_n , DP_n and ODP_n contain θ and id_A for any non-empty subset A of X_n . In 2013, Dimitrova [4] proved that the semigroup ODP_n has exactly $n + 1$ maximal subsemigroups. In 2014, Al-Kharousi et al. [1] showed that $|DP_n| = 3 \cdot 2^{n+1} - (n + 2)^2 - 1$ and $|ODP_n| = 3 \cdot 2^n - 2(n + 1)$. Later in 2016, Al-Kharousi et al. [2] investigated the structure of a partial isometry and characterized Green’s relations on DP_n and ODP_n . They also proved that for $n \geq 2$, $\text{rank}(ODP_n) = n + 1$ and $\text{rank}(DP_n) = \lfloor \frac{n+3}{2} \rfloor$ where $\lfloor x \rfloor$ is the floor function. In the same year, Fernandes and Quinteiro [6] gave presentations for the semigroups DP_n and ODP_n . In 2018, Namnak et al. [10] studied the natural partial orders on DP_n and ODP_n . Latest in 2018, Bugay et al. [3] defined the subsemigroups

$$DP_{n,r} = \{\alpha \in DP_n : |\text{im}\alpha| \leq r\} \text{ and } ODP_{n,r} = \{\alpha \in ODP_n : |\text{im}\alpha| \leq r\}$$

of DP_n where $2 \leq r \leq n - 1$. The authors of [3] also calculated the cardinalities of $DP_{n,r}$ and $ODP_{n,r}$. Moreover, they found the ranks of the subsemigroups $DP_{n,r}$ and $ODP_{n,r}$. Indeed, $\text{rank}(DP_{n,r}) = \text{rank}(ODP_{n,r}) = \binom{n}{r}$.

Let $A = \{a_1, a_2, \dots, a_k\} \subseteq X_n$ such that $a_1 < a_2 < \dots < a_k$ and $k \geq 2$. We define the gap of the set A as the following ordered $(k - 1)$ -tuple:

$$g(A) = (a_2 - a_1, a_3 - a_2, \dots, a_k - a_{k-1}).$$

In the case $A = \{a\} \subseteq X_n$, we let $g(A) = (0)$. Notice that for $\alpha \in ODP_n$, $g(\text{dom } \alpha) = g(\text{im } \alpha)$.

Lemma 1.1 ([1, Lemma 3.3]) *Let $A \subseteq X_n$ with $|A| = p \geq 1$. If $g(A) = (d_1, d_2, \dots, d_{p-1})$, then $p - 1 \leq \sum_{i=1}^{p-1} d_i \leq n - 1$.*

From [2], Green’s relations \mathcal{L} , \mathcal{R} and \mathcal{D} on ODP_n can be characterized as follows:

Lemma 1.2 ([2, Lemma 2.1, Remark 2.2, Theorem 2.5]) *Let $\alpha, \beta \in ODP_n$. Then*

- (1) $\alpha \mathcal{L} \beta$ if and only if $\text{im } \alpha = \text{im } \beta$,
- (2) $\alpha \mathcal{R} \beta$ if and only if $\text{dom } \alpha = \text{dom } \beta$,
- (3) $\alpha \mathcal{H} \beta$ if and only if $\alpha = \beta$,
- (4) $\alpha \mathcal{D} \beta$ if and only if $g(\text{im } \alpha) = g(\text{im } \beta)$.

For convenience,

$$\alpha = \begin{pmatrix} x_1 & x_2 & \dots & x_k \\ a_1 & a_2 & \dots & a_k \end{pmatrix} \tag{1.1}$$

means $\alpha \in I_n$ such that $x_i \alpha = a_i$ for all $1 \leq i \leq k$, $\text{dom } \alpha = \{x_1, x_2, \dots, x_k\}$ and $\text{im } \alpha = \{a_1, a_2, \dots, a_k\}$ where $x_1 < x_2 < \dots < x_k$. Furthermore, if such α is order-preserving, then we have $a_1 < a_2 < \dots < a_k$.

For $n \geq 2$, let

$$\eta = \begin{pmatrix} 2 & 3 & \dots & n \\ 1 & 2 & \dots & n - 1 \end{pmatrix} \text{ and } G = \{\eta, \eta^{-1}\} \cup \{\text{id}_{X_n \setminus \{i\}} : 2 \leq i \leq n - 1\}.$$

Then we have the following lemma.

Lemma 1.3 ([2, Theorem 3.1]) *For $n \geq 2$, we have $\text{rank}(ODP_n) = n + 1$ where $G \cup \{\text{id}_{X_n}\}$ is the unique minimum generating set for ODP_n .*

Throughout this paper, we fix $Y = \{1, 2, \dots, r\} \subseteq X_n = \{1, 2, \dots, n\}$ and let

$$ODPI_{n,r} = ODP_n \cap I_{n,r}.$$

It is clear that

$$ODPI_{n,r} = \{\alpha \in ODP_n : \text{im } \alpha \subseteq Y\}.$$

Since $\theta \in ODP_n \cap I_{n,r}$, we obtain $ODPI_{n,r} = ODP_n \cap I_{n,r} \neq \emptyset$. Thus, $ODPI_{n,r}$ is a subsemigroup of ODP_n and $I_{n,r}$. Moreover, if $n = r$ (i.e., $X_n = Y$), then $ODPI_{n,r} = ODP_n \cap I_{n,n} = ODP_n \cap I_n = ODP_n$. We may regard $ODPI_{n,r}$ as a generalization of ODP_n . Note that $ODPI_{n,r} \subseteq ODP_{n,r}$ as defined in [3] since $|\text{im } \alpha| \leq |Y| = r$ for all $\alpha \in ODPI_{n,r}$.

In this paper, we give a necessary and sufficient condition for $ODPI_{n,r}$ to be an inverse subsemigroup of ODP_n and characterize Green’s relations on $ODPI_{n,r}$. Also, we investigate the cardinality of $ODPI_{n,r}$ and compute its rank.

2 The semigroup $ODPI_{n,r}$

We begin this section with the following remark which will be used throughout the paper.

Remark 2.1 Let $\alpha \in I_{n,r} \setminus \{\theta\}$ be order-preserving and $\text{dom } \alpha = \{x_1, x_2, \dots, x_k\}$. Then $\alpha \in ODPI_{n,r}$ if and only if $|x_{i+1} - x_i| = |x_{i+1}\alpha - x_i\alpha|$ for all $1 \leq i \leq k - 1$.

With the notation (1.1) and Remark 2.1, for any $\theta \neq \alpha \in ODPI_{n,r}$, we can write

$$\alpha = \begin{pmatrix} x_1 & x_2 & \cdots & x_k \\ a_1 & a_2 & \cdots & a_k \end{pmatrix}$$

where $\text{dom } \alpha = \{x_1, x_2, \dots, x_k\} \subseteq X_n$ and $\text{im } \alpha = \{a_1, a_2, \dots, a_k\} \subseteq Y$ such that $x_1 < x_2 < \cdots < x_k$, $a_1 < a_2 < \cdots < a_k$ and $|x_{i+1} - x_i| = |a_{i+1} - a_i|$ for all $1 \leq i \leq k - 1$.

Theorem 2.1 *$ODPI_{n,r}$ is an inverse semigroup if and only if $X_n = Y$.*

Proof If $X_n = Y$, then $ODPI_{n,r} = ODP_n$ is an inverse semigroup. Conversely, assume that $ODPI_{n,r}$ is an inverse semigroup and $Y \subsetneq X_n$. Define $\alpha = \binom{n}{1}$. It is clear that $\alpha \in ODPI_{n,r}$. Since $ODPI_{n,r}$ is an inverse semigroup, there is $\beta \in ODPI_{n,r}$ such that $\alpha = \alpha\beta\alpha$. Thus, $n\alpha = n\alpha\beta\alpha = (1\beta)\alpha$, which implies that $1\beta = n \notin Y$ (since α is injective) and this leads to a contradiction. Therefore, $X_n = Y$. \square

The following corollary is directly obtained from Theorem 2.1.

Corollary 2.2 *If $r < n$, then $ODPI_{n,r}$ is not isomorphic to ODP_m for any set X_m .*

Theorem 2.3 *ODP_r is the largest regular subsemigroup of $ODPI_{n,r}$. In particular, ODP_r is the largest inverse subsemigroup of $ODPI_{n,r}$.*

Proof It is known that ODP_r is regular. Since $ODP_r \subseteq ODPI_{n,r}$, we obtain ODP_r is a regular subsemigroup of $ODPI_{n,r}$. On the other hand, let α be a regular element of $ODPI_{n,r}$ and take $\beta \in ODP_r$ such that $\alpha = \alpha\beta\alpha$. We write

$$\alpha = \begin{pmatrix} x_1 & x_2 & \cdots & x_k \\ a_1 & a_2 & \cdots & a_k \end{pmatrix}.$$

Then $x_i\alpha = (x_i\alpha)\beta\alpha = (a_i\beta)\alpha$ and so $x_i = a_i\beta \in Y$ (since α is injective). Hence, $\text{dom } \alpha \subseteq Y$, that is $\alpha \in ODP_r$. It follows that ODP_r is the set of all regular elements of $ODPI_{n,r}$. Since ODP_r is an inverse subsemigroup of $ODPI_{n,r}$, this implies that ODP_r is the largest inverse subsemigroup of $ODPI_{n,r}$. \square

As the idempotents of $I(X)$ are all the maps of the form id_A with $A \subseteq X$. From that fact that the idempotents of I_r and $ODPI_{n,r}$ coincide, we obtain the following proposition.

Proposition 2.4 *The idempotents of $ODPI_{n,r}$ are precisely the elements of the set $\{\text{id}_A : \emptyset \neq A \subseteq Y\} \cup \{\theta\}$.*

Proposition 2.5 *Let $Y_1 = \{1, 2, \dots, r_1\}$ and $Y_2 = \{1, 2, \dots, r_2\}$ be non-empty subsets of X_n . Then $ODPI_{n,r_1}$ is isomorphic to $ODPI_{n,r_2}$ if and only if $r_1 = r_2$.*

Proof Suppose that $ODPI_{n,r_1}$ is isomorphic to $ODPI_{n,r_2}$ and $r_1 \neq r_2$. We may assume that $r_1 < r_2$, that is, $Y_1 \subsetneq Y_2$. It follows that $ODPI_{n,r_1} \subseteq ODPI_{n,r_2}$. Since $|ODPI_{n,r_1}| = |ODPI_{n,r_2}|$ is finite, we obtain $ODPI_{n,r_1} = ODPI_{n,r_2}$. This implies that $Y_1 = Y_2$, a contradiction. Therefore, $r_1 = r_2$. The converse is clear. \square

3 Green's relations

Our aim in this section is to describe Green's relations on the semigroups $ODPI_{n,r}$.

Lemma 3.1 *Let $\alpha, \beta \in ODPI_{n,r}$. If $\alpha\mathcal{L}\beta$ on $ODPI_{n,r}$, then $\alpha, \beta \in ODP_r$ or $\alpha = \beta$.*

Proof Assume that $\alpha\mathcal{L}\beta$ on $ODPI_{n,r}$. Then there exist $\gamma, \lambda \in ODPI_{n,r}^1$ such that $\alpha = \gamma\beta$ and $\beta = \lambda\alpha$. If $\gamma = 1$ or $\lambda = 1$, then $\alpha = \beta$. Now, suppose that $\gamma \neq 1 \neq \lambda$, that is $\gamma, \lambda \in ODPI_{n,r}$. Let $x \in \text{dom } \alpha$. Then $x\alpha = x\gamma\beta = x\gamma(\lambda\alpha) = (x\gamma\lambda)\alpha$, this implies that $x = x\gamma\lambda \in Y$. Thus, $\text{dom } \alpha \subseteq Y$ and so $\alpha \in ODP_r$. Similarly, we can show that $\beta \in ODP_r$. \square

Theorem 3.2 *Let $\alpha, \beta \in ODPI_{n,r}$. Then $\alpha\mathcal{L}\beta$ on $ODPI_{n,r}$ if and only if $(\alpha, \beta \in ODP_r$ and $\text{im } \alpha = \text{im } \beta)$ or $(\alpha, \beta \notin ODP_r$ and $\alpha = \beta)$.*

Proof Assume that $\alpha \mathcal{L} \beta$ on $ODPI_{n,r}$. By Lemma 3.1, we have $\alpha, \beta \in ODP_r$ or $\alpha = \beta$. By [8, Proposition 2.4.2], if $\alpha, \beta \in ODP_r$, then $\alpha \mathcal{L} \beta$ on ODP_r since ODP_r is a regular subsemigroup of $ODPI_{n,r}$. Hence, $\text{im } \alpha = \text{im } \beta$ by Lemma 1.2. If $\alpha \notin ODP_r$ or $\beta \notin ODP_r$, then $\alpha = \beta \notin ODP_r$.

Conversely, assume the conditions hold. If $\alpha = \beta$, then we obtain $\alpha \mathcal{L} \beta$ on $ODPI_{n,r}$. If $\alpha, \beta \in ODP_r$ and $\text{im } \alpha = \text{im } \beta$, then $\alpha \mathcal{L} \beta$ on ODP_r by Lemma 1.2. So, we automatically have $\alpha \mathcal{L} \beta$ on $ODPI_{n,r}$. \square

Theorem 3.3 *Let $\alpha, \beta \in ODPI_{n,r}$. Then $\alpha \mathcal{L} \beta$ on $ODPI_{n,r}$ if and only if $(\alpha, \beta \in ODP_r$ and $\text{im } \alpha = \text{im } \beta)$ or $(\alpha, \beta \notin ODP_r$ and $\alpha = \beta)$.*

Proof If $\alpha \mathcal{R} \beta$ on $ODPI_{n,r}$, then $\alpha \mathcal{R} \beta$ on ODP_n and so $\text{dom } \alpha = \text{dom } \beta$ by Lemma 1.2. On the other hand, assume that $\text{dom } \alpha = \text{dom } \beta$. If $\alpha = \theta$ or $\beta = \theta$, then $\text{dom } \alpha = \emptyset = \text{dom } \beta$ and so $\alpha = \theta = \beta$. Thus, $\alpha \mathcal{R} \beta$ on $ODPI_{n,r}$. Now, suppose that α and β are not the empty map. We can write

$$\alpha = \begin{pmatrix} x_1 & x_2 & \cdots & x_k \\ a_1 & a_2 & \cdots & a_k \end{pmatrix} \text{ and } \beta = \begin{pmatrix} x_1 & x_2 & \cdots & x_k \\ b_1 & b_2 & \cdots & b_k \end{pmatrix}.$$

Define

$$\gamma = \begin{pmatrix} b_1 & b_2 & \cdots & b_k \\ a_1 & a_2 & \cdots & a_k \end{pmatrix} \in ODPI_{n,r} \text{ and } \lambda = \begin{pmatrix} a_1 & a_2 & \cdots & a_k \\ b_1 & b_2 & \cdots & b_k \end{pmatrix} \in ODPI_{n,r}.$$

It is easy to verify that $\alpha = \beta \gamma$ and $\beta = \alpha \lambda$. Therefore, $\alpha \mathcal{R} \beta$ on $ODPI_{n,r}$. \square

Remark 3.1 Let $\alpha, \beta \in ODPI_{n,r}$ and $\alpha \mathcal{R} \beta$ on $ODPI_{n,r}$. If $\alpha \in ODP_r$, then $\beta \in ODP_r$. In fact, if $\alpha \in ODP_r$, then $\text{dom } \beta = \text{dom } \alpha \subseteq Y$ by Theorem 3.3. Thus, $\beta \in ODP_r$. It follows that if $\alpha \mathcal{R} \beta$ on $ODPI_{n,r}$, then $\alpha, \beta \in ODP_r$ or $\alpha, \beta \notin ODP_r$.

Lemma 3.4 *Let $\alpha, \beta \in ODPI_{n,r}$ and $\alpha \mathcal{D} \beta$ on $ODPI_{n,r}$. Then either $\alpha, \beta \in ODP_r$ or $\alpha, \beta \notin ODP_r$.*

Proof Assume that $\alpha \in ODP_r$. Since $\alpha \mathcal{D} \beta$ on $ODPI_{n,r}$, there exists $\gamma \in ODPI_{n,r}$ such that $\alpha \mathcal{L} \gamma \mathcal{R} \beta$. From $\alpha \mathcal{L} \gamma$ and $\alpha \in ODP_r$, we get $\gamma \in ODP_r$ by Lemma 3.1. Then by Remark 3.1, we obtain $\beta \in ODP_r$ since $\gamma \mathcal{R} \beta$ and $\gamma \in ODP_r$. We now apply this argument again, with α replaced by β , to obtain if $\beta \in ODP_r$, then $\alpha \in ODP_r$. Equivalently, if $\alpha \notin ODP_r$, then $\beta \notin ODP_r$. \square

Theorem 3.5 *Let $\alpha, \beta \in ODPI_{n,r}$. Then $\alpha \mathcal{D} \beta$ on $ODPI_{n,r}$ if and only if $(\alpha, \beta \in ODP_r$ and $g(\text{im } \alpha) = g(\text{im } \beta))$ or $(\alpha, \beta \notin ODP_r$ and $\text{dom } \alpha = \text{dom } \beta)$.*

Proof Assume that $\alpha \mathcal{D} \beta$ on $ODPI_{n,r}$. We note that either $\alpha, \beta \in ODP_r$ or $\alpha, \beta \notin ODP_r$ by Lemma 3.4. Since $\alpha \mathcal{D} \beta$ on $ODPI_{n,r}$, there exists $\gamma \in ODPI_{n,r}$ such that $\alpha \mathcal{L} \gamma \mathcal{R} \beta$. If $\alpha \in ODP_r$, then $\gamma \in ODP_r$ and $\text{im } \alpha = \text{im } \gamma$ by Theorem 3.2. Since $\gamma \mathcal{R} \beta$, we obtain $\text{dom } \gamma = \text{dom } \beta$ by Theorem 3.3. Thus, $g(\text{im } \alpha) = g(\text{im } \gamma) = g(\text{dom } \gamma) = g(\text{dom } \beta) = g(\text{im } \beta)$. Now, suppose that $\alpha \notin ODP_r$. By Theorem 3.2, we have $\gamma \notin ODP_r$ since $\alpha \mathcal{L} \gamma$. From $\alpha, \gamma \notin ODP_r$ and $\alpha \mathcal{L} \gamma \mathcal{R} \beta$ on $ODPI_{n,r}$, we obtain

$\alpha = \gamma$ and $\text{dom } \gamma = \text{dom } \beta$ by Theorem 3.2 and Theorem 3.3, respectively. Thus, $\text{dom } \alpha = \text{dom } \gamma = \text{dom } \beta$.

Conversely, assume the conditions hold. If $\alpha, \beta \in ODP_r$ and $g(\text{im } \alpha) = g(\text{im } \beta)$, then $\alpha \mathcal{D} \beta$ on ODP_r by Lemma 1.2. It follows immediately that $\alpha \mathcal{D} \beta$ on $ODPI_{n,r}$. In the later case, if $\text{dom } \alpha = \text{dom } \beta$, then $\alpha \mathcal{R} \beta$ on $ODPI_{n,r}$ by Theorem 3.3. Therefore, $\alpha \mathcal{D} \beta$ on $ODPI_{n,r}$ since $\mathcal{R} \subseteq \mathcal{D}$. □

Remark 3.2 $\mathcal{J} = \mathcal{D}$ on $ODPI_{n,r}$ because the semigroup $ODPI_{n,r}$ is finite.

Theorem 3.6 Let $\alpha, \beta \in ODPI_{n,r}$. Then $\alpha \mathcal{H} \beta$ on $ODPI_{n,r}$ if and only if $\alpha = \beta$.

Proof Suppose that $\alpha \mathcal{H} \beta$ on $ODPI_{n,r}$, that is, $\alpha \mathcal{L} \beta$ and $\alpha \mathcal{R} \beta$. Then $(\alpha, \beta \in ODP_r$ and $\text{im } \alpha = \text{im } \beta)$ or $(\alpha, \beta \notin ODP_r$ and $\alpha = \beta)$, and $\text{dom } \alpha = \text{dom } \beta$ by Theorem 3.2 and 3.3, respectively. We get $(\alpha, \beta \in ODP_r, \text{im } \alpha = \text{im } \beta$ and $\text{dom } \alpha = \text{dom } \beta)$ or $(\alpha, \beta \notin ODP_r$ and $\alpha = \beta)$. It follows that $\alpha \mathcal{H} \beta$ on ODP_r or $\alpha = \beta$. Thus, $\alpha = \beta$ by Lemma 1.2. The converse is clear. □

4 The cardinality and ranks

For any positive integer number n , the authors of [1] defined

$$F(n; p) = |\{\alpha \in ODP_n : |\text{im } \alpha| = p\}|$$

and showed that

$$F(n; p) = \frac{2n - p + 1}{p + 1} \binom{n}{p} \tag{4.1}$$

where $1 \leq p \leq n$, see [1, Proposition 2.4].

Lemma 4.1 ([1, Lemma 2.5]) For $n \geq 1$, $\sum_{k=1}^n \frac{2n-k+1}{k+1} \binom{n}{k} = 3 \cdot 2^n - 2n - 3$.

Lemma 4.2 ([1, Theorem 2.6]) For $n \geq 1$, $|ODP_n| = 3 \cdot 2^n - 2(n + 1)$.

From now on, let $1 \leq k \leq r \leq n$. Define

$$Z_k = \{\alpha \in ODPI_{n,r} : |\text{im } \alpha| = k\} \text{ and } F(n; r; k) = |Z_k|.$$

It is easy to see that $\theta \notin Z_k$ and $F(r; k) \leq F(n; r; k)$.

For each $\alpha, \beta \in Z_k$, we define the relation \sim on Z_k by

$$\alpha \sim \beta \text{ if and only if } g(\text{im } \alpha) = g(\text{im } \beta).$$

Then \sim is an equivalence relation on Z_k . Let $\mathcal{C}_k = \{P_1, P_2, \dots, P_t\}$ be the partition of Z_k induced by the relation \sim . That is, if $\alpha, \beta \in Z_k$, then

$$\alpha, \beta \in P_i \text{ if and only if } g(\text{im } \alpha) = g(\text{im } \beta)$$

for all $1 \leq i \leq t$.

We now describe a structure of P_i ($1 \leq i \leq t$). Assume that $1 \leq i \leq t$ and $(d_1, d_2, \dots, d_{k-1})$ is a gap of all elements of P_i . Let $\omega_i = \sum_{j=1}^{k-1} d_j$. Observe that

$1 + \omega_i = 1 + \sum_{j=1}^{k-1} d_j \leq r$ by using Lemma 1.1. We construct

$$\xi_i = \left(\begin{array}{cccc} 1 & 1 + d_1 & \cdots & 1 + (\omega_i - d_{k-1}) \\ 1 + \omega_i & 1 + (\omega_i - d_{k-1}) & \cdots & 1 + \omega_i \end{array} \right) \in P_i \cap ODP_r.$$

Let V_1 and V_2 be the sets of all elements α in P_i with $\text{dom } \alpha = \text{dom } \xi_i$ and $\text{im } \alpha = \text{im } \xi_i$, respectively. Then

$$V_1 = \{\xi_i\} \cup \{\tau_q : 1 \leq q \leq r - (1 + \omega_i)\} \text{ and } V_2 = \{\xi_i\} \cup \{\delta_j : 1 \leq j \leq n - (1 + \omega_i)\},$$

where

$$\tau_q = \left(\begin{array}{cccc} 1 & 1 + d_1 & \cdots & 1 + (\omega_i - d_{k-1}) \\ 1 + q & 1 + d_1 + q & \cdots & 1 + (\omega_i - d_{k-1}) + q \end{array} \right)$$

for $1 \leq q \leq r - (1 + \omega_i)$, and

$$\delta_j = \left(\begin{array}{cccc} 1 + j & 1 + d_1 + j & \cdots & 1 + (\omega_i - d_{k-1}) + j \\ 1 & 1 + d_1 & \cdots & 1 + (\omega_i - d_{k-1}) \end{array} \right)$$

for $1 \leq j \leq n - (1 + \omega_i)$. Notice that $V_1 \subseteq ODP_r$, $\{\delta_j : 1 \leq j \leq r - (1 + \omega_i)\} \subseteq ODP_r$ and $\{\delta_{r-(1+\omega_i)+p} : 1 \leq p \leq n - r\} \subseteq P_i \setminus ODP_r$. By the definition of δ_j , we can write $\delta_{r-(1+\omega_i)}$ and $\delta_{r-(1+\omega_i)+p}$ as follows:

$$\delta_{r-(1+\omega_i)} = \left(\begin{array}{cccc} r - \omega_i & r - \omega_i + d_1 & \cdots & r - d_{k-1} \\ 1 & 1 + d_1 & \cdots & 1 + (\omega_i - d_{k-1}) \end{array} \right)$$

and

$$\delta_{r-(1+\omega_i)+p} = \left(\begin{array}{cccc} (r - \omega_i) + p & (r - \omega_i + d_1) + p & \cdots & (r - d_{k-1}) + p \\ 1 & 1 + d_1 & \cdots & 1 + (\omega_i - d_{k-1}) \end{array} \right)$$

for all $1 \leq p \leq n - r$. For an element $\gamma \in P_i \setminus ODP_r$, we have

$$\gamma = \left(\begin{array}{cccc} (r - \omega_i) + p & (r - \omega_i + d_1) + p & \cdots & (r - d_{k-1}) + p \\ 1 + q & 1 + d_1 + q & \cdots & 1 + (\omega_i - d_{k-1}) + q \end{array} \right)$$

for some $1 \leq p \leq n - r$ and $0 \leq q \leq r - (1 + \omega_i)$. We see that $\text{dom } \gamma = \text{dom } \delta_{r-(1+\omega_i)+p}$ and $\text{im } \gamma = \text{im } \tau_q$ where $1 \leq p \leq n - r$ and $0 \leq q \leq r - (1 + \omega_i)$.

From the above statements, we obtain the following configuration for P_i of Z_k .

$\delta_{n-(1+\omega_i)}$		
\vdots	\vdots		\vdots		\vdots
$\delta_{r-(1+\omega_i)+p}$...	γ	...	
\vdots	\vdots		\vdots		\vdots
$\delta_{r-(1+\omega_i)+1}$					
$\delta_{r-(1+\omega_i)}$		
\vdots	\vdots		\vdots		\vdots
δ_1		
ξ_i	τ_1	...	τ_q	...	$\tau_{r-(1+\omega_i)}$

By the above configuration, all elements of $P_i \cap ODP_r$ are shaded gray and all elements of $P_i \setminus ODP_r$ are shaded white. Moreover, $|P_i \setminus ODP_r| = (n - r)(r - \omega_i)$ and the number of distinct images of all elements of P_i is equal to $|V_1| = r - \omega_i$. This implies that the number of distinct images of all elements of $Z_k = \bigcup_{i=1}^t P_i$ is equal to $\sum_{i=1}^t (r - \omega_i)$. But it is clear that the number of image sets in Y of cardinality k is $\binom{r}{k}$. Thus, we conclude that

$$\sum_{i=1}^t (r - \omega_i) = \binom{r}{k}. \tag{4.2}$$

Notice that $\bigcup_{i=1}^t (P_i \cap ODP_r) = Z_k \cap ODP_r = \{\alpha \in ODP_r : |\text{im } \alpha| = k\}$. Hence,

$$\sum_{i=1}^t |P_i \cap ODP_r| = \left| \bigcup_{i=1}^t (P_i \cap ODP_r) \right| = |\{\alpha \in ODP_r : |\text{im } \alpha| = k\}| = F(r; k).$$

Proposition 4.3 For $1 \leq k \leq r \leq n$,

$$F(n; r; k) = \left(\frac{2r - k + 1}{k + 1} + n - r \right) \binom{r}{k}.$$

Proof We first note that $Z_k = \bigcup_{i=1}^t P_i = \bigcup_{i=1}^t [(P_i \cap ODP_r) \cup (P_i \setminus ODP_r)]$. Then by using (4.1) and (4.2), we get

$$\begin{aligned} F(n; r; k) &= |Z_k| \\ &= \sum_{i=1}^t |P_i \cap ODP_r| + \sum_{i=1}^t |P_i \setminus ODP_r| \\ &= F(r; k) + \sum_{i=1}^t (n - r)(r - \omega_i) \\ &= F(r; k) + (n - r) \sum_{i=1}^t (r - \omega_i) \\ &= \frac{2r - k + 1}{k + 1} \binom{r}{k} + (n - r) \binom{r}{k} \\ &= \left(\frac{2r - k + 1}{k + 1} + n - r \right) \binom{r}{k}. \end{aligned}$$

□

Recall that $\sum_{k=1}^r \binom{r}{k} = 2^r - 1$ and $\sum_{k=1}^r \frac{2r-k+1}{k+1} \binom{r}{k} = 3 \cdot 2^r - 2r - 3$ by Lemma 4.1.

Theorem 4.4 For $1 \leq r \leq n$,

$$|ODPI_{n,r}| = (n - r + 3)2^r - (n + r) - 2.$$

Proof Consider

$$\begin{aligned} \sum_{k=1}^r F(n; r; k) &= \sum_{k=1}^r \left(\frac{2r - k + 1}{k + 1} + n - r \right) \binom{r}{k} \text{ by Proposition 4.3} \\ &= \sum_{k=1}^r \frac{2r - k + 1}{k + 1} \binom{r}{k} + (n - r) \sum_{k=1}^r \binom{r}{k} \\ &= (3 \cdot 2^r - 2r - 3) + (n - r)(2^r - 1) \\ &= (n - r + 3)2^r - n - r - 3. \end{aligned}$$

Then by adding the empty map θ , we get $|ODPI_{n,r}| = 1 + \sum_{k=1}^r F(n; r; k) = (n - r + 3)2^r - (n + r) - 2$. □

Corollary 4.5 For $n \geq 1$, $|ODP_n| = 3 \cdot 2^n - 2(n + 1)$.

Proof By taking $X_n = Y$, we obtain $ODPI_{n,r} = ODP_n$ and $|ODP_n| = (n - n + 3)2^n - (n + n) - 2 = 3 \cdot 2^n - 2(n + 1)$. □

Now, we find the rank of $ODPI_{n,r}$.

Notice that if $n = r$, then $ODPI_{n,r} = ODP_n$ and $\text{rank}(ODP_n) = n + 1$. If $n = 1$, then $ODPI_{1,r} = ODPI_{1,1} = \left\{ \binom{1}{1}, \theta \right\}$ and $\text{rank}(ODPI_{1,r}) = 2$. And if $r = 1$, then

$$ODPI_{n,1} = \{\theta\} \cup \left\{ \binom{i}{1} : 1 \leq i \leq n \right\} = \left\langle \binom{1}{1}, \binom{2}{1}, \dots, \binom{n}{1} \right\rangle.$$

Thus, $\text{rank}(ODPI_{n,1}) = n$.

Next, we consider $n \geq 3$ and $2 \leq r \leq n - 1$.

For the case $k = r$, we have $C_r = \{Z_r\}$ is a partition of Z_r and the image of all elements of Z_r is Y . Indeed, the gap of all elements of Z_r is the $(r - 1)$ -tuple $(1, 1, \dots, 1)$. We see that $Z_r = \{\text{id}_Y\} \cup \{\delta_j : 1 \leq j \leq n - r\}$ where

$$\delta_j = \begin{pmatrix} 1 + j & 2 + j & \dots & r - 1 + j & r + j \\ 1 & 2 & \dots & r - 1 & r \end{pmatrix}$$

for $1 \leq j \leq n - r$, and $|Z_r| = n - r + 1$. Notice that for any element $\alpha \in Z_r$,

$$1 \in \text{dom } \alpha \text{ if and only if } \alpha = \text{id}_Y.$$

Furthermore, $ODPI_{n,r}$ has precisely $n - r + 1$ distinct \mathcal{R} -classes in Z_r . Let R_j be an \mathcal{R} -class in Z_r ($0 \leq j \leq n - r$). We may assume that $R_0 = \{\text{id}_Y\}$ and $R_j = \{\delta_j\}$ where $1 \leq j \leq n - r$.

Lemma 4.6 *Let A be any generating set of $ODPI_{n,r}$. Then $Z_r \subseteq A$.*

Proof Let $\alpha \in Z_r$. Then $\alpha \in R_j$ for some $0 \leq j \leq n - r$ and we can write $\alpha = \alpha_1 \alpha_2 \dots \alpha_p$ for some $\alpha_1, \alpha_2, \dots, \alpha_p \in A$. It follows that $\text{dom } \alpha \subseteq \text{dom } \alpha_1$ and so $r = |\text{dom } \alpha| \leq |\text{dom } \alpha_1| \leq r$. Whence, $|\text{dom } \alpha| = r = |\text{dom } \alpha_1|$. Since $\text{dom } \alpha \subseteq \text{dom } \alpha_1$ and $|\text{dom } \alpha| = |\text{dom } \alpha_1|$ is finite, we obtain $\text{dom } \alpha = \text{dom } \alpha_1$. Hence, $\alpha_1 \mathcal{R} \alpha$ on $ODPI_{n,r}$ by Theorem 3.3. Thus, $\alpha, \alpha_1 \in R_j$. But $|R_j| = 1$, we get $\alpha = \alpha_1 \in A$. \square

Lemma 4.7 *Let $\alpha \in ODPI_{n,r} \setminus \{\theta\}$ and $\alpha = \alpha_1 \alpha_2 \dots \alpha_j \dots \alpha_p$ where $1 \leq j \leq p$. If $1 \in \text{dom } \alpha$ and $\alpha_1, \alpha_2, \dots, \alpha_j \in Z_r$, then $\alpha_1 = \alpha_2 = \dots = \alpha_j = \text{id}_Y$.*

Proof Assume that $1 \in \text{dom } \alpha$ and $\alpha_1, \alpha_2, \dots, \alpha_j \in Z_r$. Then $1 \in \text{dom } \alpha = \text{dom}(\alpha_1 \alpha_2 \dots \alpha_p) \subseteq \text{dom}(\alpha_1)$. Since $1 \in \text{dom } \alpha_1$ and $\alpha_1 \in Z_r$, we obtain $\alpha_1 = \text{id}_Y$. Now, we have $\alpha = \text{id}_Y \alpha_2 \alpha_3 \dots \alpha_p$. It follows that $1\alpha = (1\text{id}_Y) \alpha_2 \alpha_3 \dots \alpha_p = 1(\alpha_2 \alpha_3 \dots \alpha_p)$. Since $1 \in \text{dom}(\alpha_2 \alpha_3 \dots \alpha_p) \subseteq \text{dom } \alpha_2$ and $\alpha_2 \in Z_r$, this implies that $\alpha_2 = \text{id}_Y$. Continuing in this way, we get $\alpha_1 = \alpha_2 = \dots = \alpha_j = \text{id}_Y$ as required. \square

The partition of Z_{r-1} induced by the relation \sim is

$$C_{r-1} = \{P_1, P_2, \dots, P_{r-1}\}$$

such that $P_1 = \{\alpha \in Z_{r-1} : \text{im } \alpha = Y \setminus \{1\}\} \cup \{\alpha \in Z_{r-1} : \text{im } \alpha = Y \setminus \{r\}\}$ and $P_i = \{\alpha \in Z_{r-1} : \text{im } \alpha = Y \setminus \{i\}\}$ for all $2 \leq i \leq r - 1$. Notice that $Z_{r-1} = \bigcup_{i=1}^{r-1} P_i$.

Lemma 4.8 *Let A be any generating set of $ODPI_{n,r}$ and P_i an equivalence class of Z_{r-1} ($1 \leq i \leq r - 1$). Then $A \cap P_i \neq \emptyset$.*

Proof Let $\alpha = \text{id}_{Y \setminus \{r\}} \in P_1$ or $\alpha = \text{id}_{Y \setminus \{i\}} \in P_i$ for some $2 \leq i \leq r - 1$. Then $\alpha \in Z_{r-1}$ and $1 \in \text{dom } \alpha$. Since A is a generating set of $ODPI_{n,r}$, we can write $\alpha = \alpha_1 \alpha_2 \cdots \alpha_p$ for some $\alpha_1, \alpha_2, \dots, \alpha_p \in A$. If $|\text{im } \alpha_j| \leq r - 2$ for some $1 \leq j \leq p$, then $|\text{im } \alpha| = |\text{im } (\alpha_1 \alpha_2 \cdots \alpha_p)| \leq |\text{im } \alpha_j| \leq r - 2$, a contradiction. Hence, $\alpha_1, \alpha_2, \dots, \alpha_p \in Z_r \cup Z_{r-1}$. If $\alpha_1, \alpha_2, \dots, \alpha_p \in Z_r$, then we get $\alpha_1 = \alpha_2 = \cdots = \alpha_p = \text{id}_Y$ by Lemma 4.7. It follows that $\alpha = \text{id}_Y \in Z_r$, this is a contradiction. Thus, there is $\alpha_q \in Z_{r-1}$ for some $1 \leq q \leq p$. We prove $\text{dom } \alpha \subseteq \text{dom } \alpha_q$. If $\alpha_q = \alpha_1$, then $\text{dom } \alpha = \text{dom } (\alpha_q \alpha_2 \cdots \alpha_p) \subseteq \text{dom } \alpha_q$. But, if $\alpha_q \neq \alpha_1$, we may assume that q is the least integer among $2, 3, \dots, p$ in which $\alpha_q \in Z_{r-1}$, this means $\alpha_1, \alpha_2, \dots, \alpha_{q-1} \in Z_r$. We now have $\alpha = \alpha_1 \alpha_2 \cdots \alpha_{q-1} \alpha_q \cdots \alpha_p$ such that $\alpha_1, \alpha_2, \dots, \alpha_{q-1} \in Z_r$. Then by Lemma 4.7, we get $\alpha_1 = \alpha_2 = \cdots = \alpha_{q-1} = \text{id}_Y$. It follows that $\alpha = (\text{id}_Y) \alpha_q \cdots \alpha_p$. Suppose that $\text{dom } \alpha_q \not\subseteq Y$. Then $|\text{im } \alpha| \leq |\text{im } (\text{id}_Y \alpha_q)| \leq r - 2$, which is a contradiction. Whence, $\text{dom } \alpha_q \subseteq Y$ and so $\alpha = \alpha_q \alpha_{q+1} \cdots \alpha_p$. This implies that $\text{dom } \alpha \subseteq \text{dom } \alpha_q$. Since $|\text{dom } \alpha| = |\text{dom } \alpha_q| = r - 1$ is finite, we obtain $\text{dom } \alpha = \text{dom } \alpha_q$. Thus, $g(\text{im } \alpha_q) = g(\text{dom } \alpha_q) = g(\text{dom } \alpha) = g(\text{im } \alpha)$, that is, $\alpha_q \in P_i$. Therefore, $A \cap P_i \neq \emptyset$. \square

Lemma 4.9 *For $2 \leq r \leq n - 1$, we have $\text{rank}(ODPI_{n,r}) \geq n$.*

Proof It follows directly from Lemmas 4.6 and 4.8 that $\text{rank}(ODPI_{n,r}) \geq |Z_r| + |C_{r-1}| = (n - r + 1) + (r - 1) = n$. \square

We define

$$\mu = \begin{pmatrix} 1 & 2 & \cdots & r-1 \\ 2 & 3 & \cdots & r \end{pmatrix} \text{ and } T = \{\mu\} \cup \{\text{id}_{Y \setminus \{i\}} : 2 \leq i \leq r - 1\}.$$

It is known that $ODP_r = \langle T, \mu^{-1} \rangle$ by Lemma 1.3.

Lemma 4.10 $ODP_r \subseteq \langle Z_r \cup T \rangle$.

Proof Let δ_1 be defined as above Lemma 4.6. That is,

$$\delta_1 = \begin{pmatrix} 2 & 3 & \cdots & r & r+1 \\ 1 & 2 & \cdots & r-1 & r \end{pmatrix} \in Z_r.$$

We can write $\mu^{-1} = \delta_1 \mu \delta_1$, where $\mu \in T$, i.e., $\mu^{-1} \in \langle Z_r \cup T \rangle$. Thus, $T \cup \{\mu^{-1}\} \subseteq \langle Z_r \cup T \rangle$ and so $ODP_r = \langle T, \mu^{-1} \rangle \subseteq \langle Z_r \cup T \rangle$. \square

Theorem 4.11 *For $2 \leq r \leq n - 1$, $ODPI_{n,r} = \langle Z_r \cup T \rangle$ and $\text{rank}(ODPI_{n,r}) = n$.*

Proof By Lemma 4.10, we have $ODP_r \subseteq \langle Z_r \cup T \rangle$. We prove $ODPI_{n,r} \setminus ODP_r \subseteq \langle Z_r \cup T \rangle$. Let γ be any element in $ODPI_{n,r} \setminus ODP_r$. Then $\gamma \in Z_k$ for some $1 \leq k \leq r$. In fact, $\gamma \in P_i \setminus ODP_r$ for some $1 \leq i \leq |\mathcal{C}_k|$. By the construction of P_i in Z_k , we can write

$$\gamma = \begin{pmatrix} (r - \omega_i) + p & (r - \omega_i + d_1) + p & \cdots & (r - d_{k-1}) + p & r + p \\ 1 + q & 1 + d_1 + q & \cdots & 1 + (\omega_i - d_{k-1}) + q & 1 + \omega_i + q \end{pmatrix}$$

for some $1 \leq p \leq n - r$ and $0 \leq q \leq r - (1 + \omega_i)$, where $(d_1, d_2, \dots, d_{k-1})$ is the gap of all elements of P_i in Z_k and $\omega_i = \sum_{j=1}^{k-1} d_j$. Observe that $r - \omega_i = r - \sum_{j=1}^{k-1} d_j \geq 1$.

We define $\lambda \in P_i \cap ODP_r$ by

$$\lambda = \begin{pmatrix} r - \omega_i & r - (\omega_i - d_1) & \cdots & r - d_{k-1} & r \\ 1 + q & 1 + d_1 + q & \cdots & 1 + (\omega_i - d_{k-1}) + q & 1 + \omega_i + q \end{pmatrix}.$$

It is clear that $r - \omega_i, r - (\omega_i - d_1), \dots, r - (d_{k-2} + d_{k-1}), r - d_{k-1} \in Y$ such that $1 \leq r - \omega_i < r - (\omega_i - d_1) < \dots < r - (d_{k-2} + d_{k-1}) < r - d_{k-1} < r$. So, we can write $\delta_p \in Z_r$ as follows:

$$\delta_p = \begin{pmatrix} 1+p & \cdots & (r-\omega_i)+p & \cdots & (r-\omega_i+d_1)+p & \cdots & (r-d_{k-1})+p & \cdots & r+p \\ 1 & \cdots & r-\omega_i & \cdots & r-(\omega_i-d_1) & \cdots & r-d_{k-1} & \cdots & r \end{pmatrix}.$$

Then $\gamma = \delta_p \lambda \in \langle Z_r \cup ODP_r \rangle \subseteq \langle Z_r \cup T \rangle$. It follows that $ODPI_{n,r} \setminus ODP_r \subseteq \langle Z_r \cup T \rangle$. Hence, $ODPI_{n,r} = \langle Z_r \cup T \rangle$ and so $\text{rank}(ODPI_{n,r}) \leq |Z_r \cup T| = (n - r + 1) + (r - 1) = n$. By Lemma 4.9, we have $\text{rank}(ODPI_{n,r}) = n$. □

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